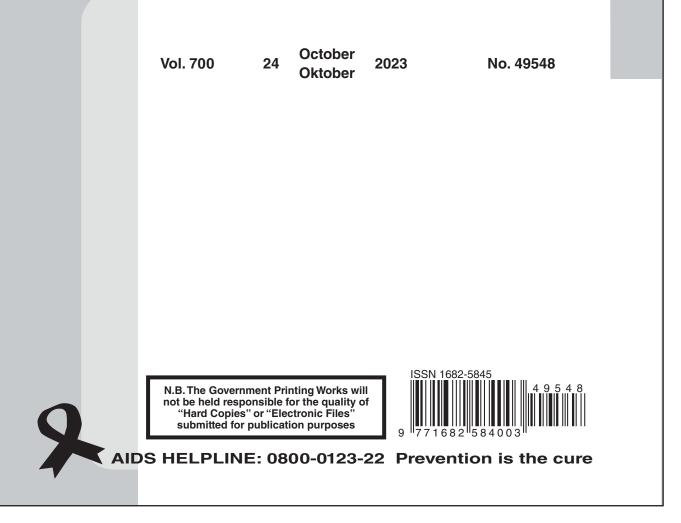


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GOVERNMENT NOTICES • GOEWERMENTSKENNISGEWINGS

DEPARTMENT OF FORESTRY, FISHERIES AND THE ENVIRONMENT

NO. 3989

24 October 2023

NATIONAL ENVIRONMENTAL MANAGEMENT ACT, 1998 (ACT NO. 107 OF 1998)

PROPOSED AMENDMENTS TO THE REGULATIONS TO DOMESTICATE THE REQUIREMENTS OF THE ROTTERDAM CONVENTION ON THE PRIOR INFORMED CONSENT PROCEDURE FOR CERTAIN HAZARDOUS CHEMICALS AND PESTICIDES IN INTERNATIONAL TRADE

I, Barbara Dallas Creecy, Minister of Forestry, Fisheries and the Environment, hereby, consult on my intention to repeal and replace the previously published Regulations to Domesticate the Requirements of the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade, in terms of section 25(3), read with sections 44(1) and 47(1) of the National Environmental Management Act, 1998 (Act No. 107 of 1998), as set out in the Schedule hereto.

Members of the public are invited to submit, within thirty (30) days of the date of publication of this notice in the Government *Gazette* or in the newspaper, whichever is the later date, written representations or objections to the proposed amendments to any of the following addresses:

By post to: The Director-General: Department of Forestry, Fisheries and the Environment Attention: Ms Margaret Molefe Private Bag X447 PRETORIA 0001

By hand at: Environment House, 473 Steve Biko Road, Arcadia, 0083

By email at: smolefe@dffe.gov.za.

Any enquiries in connection with the draft Notice can be directed to Ms Margaret Molefe at 012 399 9845.

The Department of Forestry, Fisheries and the Environment complies with the Protection of Personal Information Act, 2013 (Act No. 4 of 2013). Comments received and responses thereto will be included in a comments and response report which may be made available to the public. If a commenting party has any objection to his or her name, or the name of the represented company/organization, being made publicly available in any comments and responses report, such objection should be highlighted as part of the comments submitted.

Comments received after the closing date may not be considered.

BARBARA DALLAS CREECY MINISTER OF FORESTRY, FISHERIES AND THE ENVIRONMENT

SCHEDULE

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CHAPTER 1

DEFINITIONS, APPLICATION, SCOPE AND PURPOSE OF THE REGULATIONS

1. Definitions

In these Regulations, any word or expression to which a meaning has been assigned in the Act, has that meaning, and unless the context indicates otherwise —

"banned chemical" means a chemical of which all uses within one or more categories have been prohibited in order to protect human health or the environment. It includes a chemical(s) that has been refused approval for the first-time use or that has been withdrawn by industry either from the domestic market or from further consideration in the domestic approval process, and where there is clear evidence that such action has been taken in order to protect human health or the environment;

"chemical" means any substance whether by itself or in a mixture, whether manufactured or obtained from nature, excluding any living organism;

"country of export" means a country from which the transboundary movement of a chemical is planned to be initiated or is initiated;

"country of import" means a country to which a chemical(s) is planned to be transported to or is transported to as a final destination;

"Designated National Authority (DNA)" means an organisation granted responsibility by its national government to authorise and carry out the administrative functions required in terms of these Regulations. The South African DNA is the Department responsible for the environment;

"export" in relation to the Republic, means to take out or transfer, or attempt to take out a chemical(s), from a place within the Republic to another country;

"exporter" means a person who sends or intends to send a chemical(s) from the Republic to another country;

"export notification" means a process whereby a country of export notifies the country of import of its intention to send a chemical(s) to the country of import, and provides information thereon;

"export notification form" is the form contained in Annexure II to these Regulations, that must be used for the purposes of exporting or importing a chemical(s);

"import" in relation to the Republic, means to land on, bring into or introduce a chemical(s) to the Republic;

"importer" means a person who receives or intends to receive a chemical(s) into the Republic or to attempt to land on, bring into or introduce a chemical(s) into the Republic;

"Prior Informed Consent (PIC) procedure" is a mechanism of formally requesting, obtaining and disseminating information in terms of these Regulations, on a chemical(s), and decisions of the country of import, as to whether a chemical(s) intended to be exported to the country of import, meets the requirements for import, and for ensuring compliance with the decisions by the country of export;

"Rotterdam Convention" means the Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade, which came into force on 10 September 1998, and includes the Annexes to the Rotterdam Convention, and any amendments to, or substitutions of, those documents that are or will become binding on parties to the Rotterdam Convention;

"safety data sheet" or "SDS" means a document that is aligned to the Globally Harmonized System of Classification and Labelling of Chemicals, providing information on hazard classification and properties of hazardous chemicals, amongst other matters, and that is prepared in accordance with regulation 14A of the Regulations for Hazardous Chemical Agents, published under Government Notice R.280, in Government *Gazette* 44348 on 29 March 2021, in terms of the Occupational Health and Safety Act, 1993 (Act No. 85 of 1993);

"Severely restricted chemical" means a chemical(s) of which virtually all uses within one or more categories have been prohibited by final regulatory action in order to protect human health or the environment, but for which certain specific uses remain allowed. It includes a chemical(s) that has, for virtually all uses, been refused for approval or been withdrawn by industry either from the domestic market or from further consideration in the domestic approval process, and where there is clear evidence that such action has been taken in order to protect human health or the environment; and

"the Act" means the National Environmental Management Act, 1998 (Act No. 107 of 1998).

2. Application, scope, and purpose of the Regulations

- (1) (a) These Regulations apply to the banned or severely restricted chemicals listed in Annexure I to the Regulations.
 - (b) These Regulations do not apply to any of the following:
 - Narcotic drugs and psychotropic substances regulated by the Drug and Drug Trafficking Act, 1992 (Act No. 140 of 1992), regulating the monitoring of trade on narcotic drugs and drug precursors;
 - (ii) Radioactive materials and substances as regulated by the Hazardous Substance Act, 1973 (Act No. 15 of 1973) Group IV: Radioactive Substances and Nuclear Energy Act, 1982 (Act No. 82 of 1982), outlining safety standards for the protection of the health of workers and the general public against dangers arising from ionizing radiation;
 - (iii) Wastes regulated by the National Environmental Management: Waste Act, 2008 (Act No. 59 of 2008);
 - (iv) Chemical weapons regulated by the Non-Proliferation of Weapons of Mass Destruction Act, 1993 (Act No. 87 of 1993) and The Explosives Act, 2003 (Act No. 15 of 2003), that outlines the rules for the control of exports, transfer, brokering and transit of dual-use items;
 - (v) Pharmaceuticals, including human and veterinary drugs regulated by the Medicines and Related Substance Control Act, 1965 (Act No. 101 of 1965), on medicinal products for human and veterinary use; and
 - (vi) Chemicals used as food additives regulated by the Foodstuffs, Cosmetics and Disinfectant Act, 1972 (Act No. 52 of 1972), which ensures the verification of compliance with food laws, sets quality and safety standards that governs the manufacture, sale and importation of foodstuffs.
 - (c) These Regulations do not apply to a chemical(s) to be exported or to be imported that does not exceed 10 kg per calendar year, per country, per chemical(s).

- (2) The purpose of these Regulations is to—
 - (a) implement the Rotterdam Convention on the PIC procedure for certain hazardous chemicals and pesticides in international trade;
 - (b) outline the PIC procedure for chemicals;
 - (c) promote shared responsibility and cooperative efforts in the international movement of chemicals in order to protect human health, animal health and/or the environment from potential harm; and
 - (d) contribute to the environmentally sound use of chemicals by facilitating information exchange about their characteristics, by providing for a national decision-making process on their import and export and by disseminating these decisions to other countries.

CHAPTER 2

GENERAL PROHIBITIONS

3. General prohibition

(1) No person may import or export a chemical into or from South Africa, without obtaining consent in terms of these Regulations prior to importing or exporting a chemical listed in Annexure I to these Regulations.

CHAPTER 3

PROCESSES FOR THE NOTIFICATION FOR THE IMPORT AND EXPORT OF CHEMICALS

4. Process for the notification of the import of chemicals and decision-making

- Any person wishing to import a chemical(s) must ensure a notification in accordance with subregulation (2) is submitted to the South African DNA for consent.
- (2) The notification referred to in subregulation (1) must be submitted once per calendar year, per country, to the South African DNA by the DNA of the country of export or any authority responsible for chemicals management, or Commission, or Embassy of the country of export, and must include—
 - (a) a completed official Rotterdam Convention export notification form, signed by the DNA of the country of export, which must be sent by the country of export or any authority responsible for chemicals management, or Commission, or Embassy of the country of export, to the South African DNA containing as a minimum, the information indicated in Annexure II to these Regulations; and
 - (b) an SDS for the chemical(s) that is to be imported by South Africa. The chemical(s) must be labelled with information on the risks or hazards that the chemical(s) poses to human health or the environment, or state where the information about the risk or hazard can be obtained.

- (3) Upon receipt of the export notification form, the South African DNA will request from the importing company an applicable registration certificate or authorisation issued in terms of South African legislation, if any is required by any competent authority.
- (4) The South African DNA must send an acknowledgement of receipt of the notification contemplated in subregulation (1), to the DNA of the country of export within 10 working days of receipt of the notification.
- (5) Subsequent to the issuing of an acknowledgement contemplated in subregulation (4), the South African DNA must process the completed notification contemplated in subregulation (2) with the inclusion of the requirements in subregulation (3), within 10 working days.
- (6) The South African DNA must issue a decision to grant consent, with conditions as stated in the export notification, contemplated in subregulation (2), or deny consent, stating reasons, within 10 working days of the issuing of the acknowledgement contemplated in subregulations (4) (5).
- (7) The decision to grant consent or to deny consent will be based on
 - (a) availability of the licence/permit/registration certificate from the competent authority for use of the chemical in the country, if applicable, and
 - (b) acknowledgement of the chemical consignment by the importer.
- (8) If the cumulative amount of the chemical to be imported is going to exceed the quantity that the original notification was consented for, for the calendar year, per country, irrespective of the number of consignments, an additional notification must be submitted by the DNA of the country of export or any authority responsible for chemicals management, or Commission, or Embassy of the country of export to the South African DNA, as contemplated in regulation 4(1).

5. Process for the notification of the export of chemicals

- Any person wishing to export a chemical must submit a notification to the South African DNA for further submission to the importing DNA for consent.
- (2) The notification referred to in subregulation (1) must be submitted once per calendar year, per country, to the South African DNA by the person wishing to export a chemical, as contemplated in subregulation (1), for the export of a chemical(s), and must include—
 - (a) a completed official Rotterdam Convention export notification form contained in Annexure II to these Regulations and also obtainable from the South African DNA, containing as a minimum, the information indicated in Annexure II to these Regulations; and
 - (b) an SDS for the chemical(s) that is to be exported. The chemical(s) must be labelled with information on the risks or hazards that the chemical(s) poses to human health or the environment, or state where the information about the risk or hazard can be obtained.
- (3) The South African DNA must send an acknowledgement of receipt of the notification contemplated in subregulation (1) to the person that submitted the notification for consent for export, within 10 working days of receipt of the notification.
- (4) Subsequent to the issuing of an acknowledgement contemplated in subregulation (3), the South African DNA must within 10 working days—

- (a) consider the notification received from the person contemplated in subregulation (1), if it includes the requirements referred to in subregulation (2)(a) and (b); and
- (b) submit the export notification to the DNA of the country of import or any authority responsible for chemicals management, or Commission, or Embassy of the country of import, for consideration.
- (5) If the DNA of the country of import issues consent to the South African DNA following submission of the export notification referred to in subregulation (4)(b) for consideration, the South African DNA must issue the consent issued by the DNA of the country of import to the person contemplated in subregulation (1) within 10 working days.
- (6) If the South African DNA has not received a response from the DNA of the country of import following the submission contemplated in subregulation (4)(b), within 10 working days, the South African DNA must immediately send a reminder to the DNA of the country of import.
- (7) If there is still no response following a further 10 working days from the date of the reminder sent as contemplated in subregulation (6), the South African DNA must relay this information to the person contemplated in subregulation (1). If, after all reasonable efforts and no response to a notification contemplated in subregulation (4)(b) has been received, then the export may proceed.
- (8) If the cumulative amount of the chemical to be exported is going to exceed the quantity that the original notification was consented for, for the calendar year, per country, irrespective of the number of consignments, an additional notification must be submitted to the South African DNA for further submission to the importing DNA, by the exporter as contemplated in regulation 5(2).

CHAPTER 4

RECORD KEEPING AND REPORTING

6. Records of chemicals imported or exported

- (1) An importer or exporter of a chemical(s), must keep accurate and up to date records that reflect:
 - (a) The actual quantities of the chemical(s) imported or exported;
 - (b) The origin or source of the chemical(s);
 - (c) The actual use or application of the chemical(s) imported, or declaration of the intended end use/application if the importer is supplying to another person; and
 - (d) The date of import or export.
- (2) The declaration referred to in subregulation (1)(c) must contain:
 - (a) the full name and identity number of the person the importer is supplying if the person is a natural person, or the full registered business name and registration number of the person if the person is a juristic person, as well as a certified copy of the identity/registration document of the person, as the case may be;
 - (b) telephonic and electronic mail contact details; and
 - (c) the end use of the chemical(s).

7. Reporting or submission of information

- (1) The importer or exporter of a chemical(s) must submit, annually, at the end of March every year, the following information to the South African DNA:
 - (a) actual quantities of the chemical(s) imported or exported; and
 - (b) customs import and export declaration documents for every consignment of chemicals.
- (2) The records contemplated in subregulations 6(1) and 7(1) must be-
 - (a) retained for a period of at least five years; and
 - (b) made available, on a confidential basis, to the South African DNA upon request.

CHAPTER 5

GENERAL MATTERS

8. Offences

A person commits an offence, if that person contravenes or fails to comply with regulations 3(1), 4(1), 5(1), and 6 of these Regulations.

9. Penalties

(1) A person convicted of an offence under these Regulations is liable to:

- (a) a fine not exceeding five (5) million Rands or to imprisonment for a period not exceeding five (5) years in the case of a first offence; and
- (b) in the case of a second or subsequent conviction, a fine not exceeding ten (10) million Rands or to imprisonment for a period not exceeding (ten) 10 years,
- or in both instances, to both such fine and such imprisonment.

10. Updating of Annexures

The List of chemicals in Annexure I to these Regulations will be reviewed by the South African DNA at least every two (2) years on the basis of developments in the Rotterdam Convention.

11. Information to be transmitted to the Rotterdam Convention Secretariat

(1) The South African DNA will notify the Rotterdam Convention Secretariat in writing, when a new final regulatory action is notified or is amended, as soon as possible after the adoption or the promulgation of the new final regulatory action, and no later than 60 days after the date on which the new final regulatory action is to be implemented.

(2) In the case of chemicals listed in Annexure I to these Regulations, the South African DNA will provide the Rotterdam Convention Secretariat with information concerning the relevant final regulatory action, so that the information can be disseminated to other Parties to the Rotterdam Convention as appropriate.

12. Repeal of Laws

 The Regulations to Domesticate the Requirements of the Rotterdam Convention, as published under Government Notice R.413 in Government *Gazette* 44558 on 12 May 2021, are hereby repealed.

13. Short Title and Commencement

- These Regulations are called the Regulations to domesticate the requirements of the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade, 2023.
- (2) The Regulations will commence 300 days from the date of their publication for implementation in the Government *Gazette*.

Commercial Octahromodinhand after includion

ANNEXURE I List of chemicals controlled under these Regulations

HS Code (Pure chi 2903.30. 2903.32. 2908.91 2908.91 2908.91 2915.36 2908.31 2915.36 2920.30 -2903.15 2924.12.2 2903.82.3

5 -		Relevant CAS number(s)	HS Codes (Pure chemicals)
řΙ	Hexachlorobenzene	118-74-1	2903 92
ŤΙ	Hexachlorobutadiene	87-68-3	100000
ŦŦ œ	Hexachlorocyclohexane (HCH) (mixed isomers) Alpha – HCH and Beta - HCH		3824.85; 2903.51
	Lindane (gamma-HCH) and (gamma-BHC)	58-89-9	2903.81.10
∑ 2 2 m 2	Mercury compounds , including inorganic mercury compounds, alkyl mercury compounds, and alkyloxyalkyl and aryl mercury compounds- containing compounds		2852.10
\geq	Methamidophos	10265-92-6	2930.80.30
2 6 7	Methyl-parathion (Emulsifiable concentrates (EC) at or above 19.5% active ingredient and dusts at or above 1.5% active ingredient)	at 298-00-0	2920.11.01 2920.11.02 2920.11.03 2920.11.04 2920.11.05 2920.11.06 2920.11.06
2	Monocrotophos	6923-22-4	UE 61 7666
ñ	Parathion	56-38-2	2020 11 00
õ	Pentachlorobenzene	608-93-5	F0450111000
õ	Pentachlorophenol and its salts and esters	87-86-5	20/18 01
10° 10	Perfluorooctane sulfonic 1763-23-1 2 acid	2904.31	1 479974
n 2	Potassium perfluorooctane 2795-39-3 sulfonate	3 2904.34	
5 2	Lithium perfluorooctane 29457-72-5 sulfonate	-	2904.33
2 3	Ammonium perfluorooctane 29081- sulfonate 56-9	2904.32	

Cremical			Relevant CAS number(s)	HS Codes (Pure chemicals)
Diethanolammonium perfluorooctane sulfo	Diethanolammonium perfluorooctane sulfonate	70225-14-8		2922.16
Tetraethylammonium perfluorooctane sulfonate	nmonium ane	56773-42-3		2923.30
Didecyldimetthylamn um perfluorooctane sulfonate	Didecyldimethylammoni um perfluorooctane sulfonate	251099-16-8	2923.40	-
N-Ethylperflu sulfonamide	N-Ethylperfluorooctane sulfonamide	4151-50-2		2935.20
N-Methylpert sulfonamide	N-Methylperfluorooctane sulfonamide	31506-32-8		2935.10
N-ethyl-N-(2-hyc perfluorooctane sulfonamide	N-ethyl-N-(2-hydroxyethyl) perfluorooctane sulfonamide	1691-99-2		2935.30
N-(2-hydroxyethyl)- Nmethylperfluorooc sulfonamide	N-(2-hydroxyethyl)- Nmethylperfluorooctane sulfonamide	24448-09-7		2935.40
uorooct	Perfluorooctane sulfonyl fluoride	307- 35-7	2904.36	
shamido tance th	in (soluble liqui lat exceed 100	Phoshamidon (soluble liquid formulations of the substance that exceed 1000g active ingredients/)	le Its//	
ure (E) 8	Mixture (E) & (Z) isomers		13171-21-6	2924.12
(Z) -isomers			23783-98-4	2924.12
(E)-isomers			297-99-4	2924.12
Phorate			298-02-2	
bromina	Polybrominated biphenyls (PBB)	(PBB)		
Hexa			36355-01-8	2903.94
Octa			27858-07-7	20/13 00

Che	Chemical	Relevant CAS number(s)	HS Codes (Pure chemicals)
Deca	B	13654-09-6	2903.99
Poly	Polychlorinated Biphenyls (PCBs)	1336-36-3	2903.99.20 2710.91
Poly	Polychlorinated naphthalenes including:		
Dec	Dechlorinated naphthalenes		
Tric	Trichlorinated naphthalenes		
Tetr	Tetrachlorinated naphthalenes		
Pen	Pentachlorinated naphthalenes		
Hex	Hexachlorinated naphthalenes		
Hep	Heptachlorinated naphthalenes		
Octi	Octachlorinated naphthalenes		
Pol	Polychlorinated terphenyls (PCT)	61788-33-8	
Sho	Short-chain chlorinated paraffins (SCCP)	85535-84-8	2903 19 13
Terl	Terbufos	13071-79-9	
Tetr	Tetraethyl lead	78-00-2	2931.10
Tetr	Tetramethyl lead	75-74-1	2931.10
Tox	Toxaphene (Camphechor)	8001-35-2	3824.84
Trib	Tributyltin compounds:		
Trib	Tributyltin benzoate	4342-36-3	2931.20
El P	Tributyltin chloride	1461-22-9	21
Ę	Tributyltin fluoride	1983-10-4	
Trib	Tributyltin linoleate	24124-25-2	
E E	Tributyltin methacrylate	2155-70-6	
Trib	Tribut Mtin naphthenate	85409-17-2	

Chemical	Relevant CAS number(s)	HS Codes (Pure chemicals)
Fributyltin oxide	56-35-9	
54. Trichlorfon	52-68-6	•
Tris(2.3-dibromopropvl) phosphate	126-72-7	2919.10

		ANNEXURE II
2	ON THE PRIOR IN	RETHE ROTTERDAM CONVENTION DEFORMED CONSENT PROCEDURE ZARDOUS CHEMICALS AND PESTICIDES ALTRADE
Form	n for Export No	otification
Rotterda	m Convention. The country of	ided by the DNA of South Africa in accordance to Article 12 of of import is kindly requested to acknowledge receipt of this ex e indicated in section 7, preferably by using the attached form. Reference Number:
Cour	try of export	
	try of import	
Cour		
SECTION		IDENTITY OF THE CHEMICAL SUBJECT TO THE EXPOR NOTIFICATION
SECTIO	DN 1	NOTIFICATION
SECTION 1.1	ON 1 Common name Chemical name accordin internationally recognize	NOTIFICATION
SECTION 1.1 1.2	ON 1 Common name Chemical name accordin internationally recognize nomenclature (e.g. IUPA	NOTIFICATION
SECTION 1.1 1.2 1.3	ON 1 Common name Chemical name accordin internationally recognize nomenclature (e.g. IUPA Code numbers	NOTIFICATION
SECTION 1.1 1.2 1.3 1.3.1	DN 1 Common name Chemical name accordin internationally recognize nomenclature (e.g. IUPA Code numbers CAS number	NOTIFICATION

	(1	Fill in Section 2 only in case of a mixture or preparation)
2.1	Trade name and name of t preparation	he
2.2	For each substance in the preparation that is subject export notification, concentration (%) and information as specified un SECTION 1	to the
SECT	Expected date of export	IFORMATION CONCERNING THE EXPORT
		IFORMATION CONCERNING THE EXPORT
	Expected date of export	
3.1	Expected date of export (dd.mm.yy) Expected amount of the substance or mixture (kg/l	per
3.1 3.2	Expected date of export (dd.mm.yy) Expected amount of the substance or mixture (kg/l year) Foreseen category (industr chemical or pesticide) and foreseen use in country of	per rial

SECTION 4

INFORMATION ON HAZARDS AND /OR RISKS OF THE CHEMICAL/PREPARATION AND PRECAUTIONARY MEASURES (Please provide information in the table below or attach a copy of the

4.1 Hazard classification (e.g. GHS, WHO, IARC, EU)

(Please provide information in the table below or attach a copy of the SDS that covers the information required.)

4.2 Information on hazards and/or risks
4.3 Information on precautionary measures to reduce exposure to and emission of the chemical
4.4 Further information that may be useful to the country of import or has been requested by it, if available
4.5 Reference (e.g. safety data sheet)

ON 5	INFORMATION ON PHYSICO-CHEMICAL, TOXICOLOGICAL AND ECOTOXICOLOGICAL PROPERTIES OF THE CHEMCIAL/PREPARATION (Please provide information in the table below or attach a copy of the SDS that covers the information required.)
Summary information	
Reference	
	Summary information

SECTION 6

SUMMARY INFORMATION ON ACTION TAKEN BY THE COUNTRY OF EXPORT (FOR OFFICIAL USE ONLY)

6.1 Summary of and reasons for the action and data of entry into force

6.2	The action has bee	en taken for the category
	Pesticide	Industrial chemical
	Please indicate:	
	 use or uses prof use or uses that where available, exported and us 	remain allowed estimated quantity of the chemical produced, imported,
i.3	Reference to the re	egulatory document
		DESIGNATED NATIONAL AUTHORITIES (DNAs) (FOR OFFICIAL
SECT	ION 7	DESIGNATED NATIONAL AUTHORITIES (DNAs) (FOR OFFICIAL USE ONLY)
SECT	ION 7 Name, address, telephone, fax and email of the notifying DNA in the country of export	

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